**Ethical Assessment of Angel Fund Projects Involving Human Research**

**FORM ANG-NRR**

**For Use in Negligible Risk Projects**

|  |  |
| --- | --- |
| **Company name:** | Liancishan |
| **Applicant Name:** | Dequn Teng |
| **Project/Product Title:** | Liancishan |
| **Proposed data collection method:** | Survey |
| **Proposed data collection period (month(s)/year):** | Continuing yearly |

**RESEARCH MUST NOT BEGIN UNTIL ETHICAL APPROVAL HAS BEEN OBTAINED**

**NEGLIGIBLE RISK PROJECTS MUST TICK ‘TRUE’   
TO BOTH THESE QUESTIONS.**

|  |  |  |
| --- | --- | --- |
| **(Tick ‘True’ or ‘False’ as appropriate)** | **True** | **False** |
| All research participants are from non-vulnerable groups. Vulnerable groups include children, people with learning or communication disabilities, people in custody or people engaged in illegal activities. |  |  |
| All research participants are able to provide consent. |  |  |

**AND AT LEAST ONE OF THE FOLLOWING**

|  |  |  |
| --- | --- | --- |
| **(Tick ‘True’ or ‘False’ as appropriate)** | **True** | **False** |
| Research relying exclusively on publicly available information legally accessible to the public and/or publicly accessible with no reasonable expectation of privacy. |  |  |
| Observation of people in public places where there is no intervention by the researcher, no reasonable expectation of privacy, and the dissemination of research results does not allow identification of participants. |  |  |
| Research relying exclusively on secondary use of anonymous information or anonymous biological materials so long as data linkage or dissemination of results does not generate identifiable information. |  |  |
| Quality assurance and quality improvement studies, program evaluation activities, performance reviews or testing within the educational environment when used exclusively for assessment, management or improvement purposes. |  |  |
| Scholarship based on personal reflections and self-study where no one other than the researcher is involved in the research (e.g. auto-ethnography). |  |  |
| Research for a critical biography not involving living participants based exclusively on published or publicly available material. |  |  |
| Research about a living individual involved in the public arena (including artists) based exclusively on publicly available information, documents, records, works, performances, public archives or third-party interviews. |  |  |

|  |  |  |
| --- | --- | --- |
| **(Tick ‘True’ or ‘False’ as appropriate)** | **True** | **False** |
| Studies of public behaviour that are purely observational (non-invasive and non-interactive), such as standing in a public place and noting the actions of passers-by.  Ethics review is required if the disclosure of recorded observations identifies individuals (names, photographs) and places them at risk of harm, stigma or prosecution. |  |  |
| Purely observational studies (with no element of intervention) in established educational settings, involving normal educational practices, researching current instructional strategies; or research on the effectiveness of instructional techniques, curricula, or classroom management. |  |  |
| Testing within normal educational requirements and in accordance with a host institution’s normal practices and approvals. |  |  |
| Quality assurance/audit projects that do not involve access to or collection of private, sensitive or health data. |  |  |
| Education, training and practical classes among students, which do not involve students learning through testing procedures on each other. |  |  |
| Evaluation surveys of University staff and students, including student evaluation of teaching. |  |  |
| Taste and food quality evaluation and consumer acceptance studies, if the food consumed is (i) wholesome without additives or (ii) contains a food ingredient, agricultural chemical or environmental contaminant, for a purpose and at a level declared safe by the relevant national food safety agency. |  |  |

**Applicant’s Declaration:**

* The information in this form is accurate to the best of my knowledge and belief, and I take full responsibility for it.
* I have read and understood XJTLU’s ‘Ethical Conduct in Research Policy’ and ‘Flowchart of Research Ethics Application Process’.
* I understand that I am responsible for monitoring the research at all times.
* In the event of serious adverse events or breaches of protocol, I understand that I am responsible for immediately stopping the research and alerting the Research Ethics Sub-Committee within 24 hours of the occurrence via [ethics@xjtlu.edu.cn](mailto:ethics@xjtlu.edu.cn).
* I am aware of my responsibility to comply with the requirements of the law applicable to the research and relevant guidelines relating to security and confidentiality of personal data.
* I understand that research records and data may be subject to inspection for audit purposes.
* I understand that all conditions apply to students and personnel involved in the research, and that it is my responsibility to ensure that they abide by them.

Signature of Applicant: Dequn Teng Date: July 26 2020

**CHECKLIST OF ENCLOSURES**

|  |  |
| --- | --- |
| **Project/Product Introduction (1 pages maximum)** | √ |
| **Data Collection Sheet** | √ |

Incomplete submissions will not be accepted.